

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
ACTING AS RECEIVING OFFICE FOR THE PCT

|   |   |                                |
|---|---|--------------------------------|
| In re Application of                          | § | Attorney Docket No.: Muller-17 |
| Peter Finsmans and Christina Diblitz          | § |                                |
| Int'l. Appln. No.: PCT/DE98/02860             | § |                                |
| Int'l. Filing Date: 25 September 1998         | § |                                |
| U.S. Serial No.: 09/509,400                   | § |                                |
| U.S. Filing Date: March 27, 2000              | § |                                |
| For: <i>Aluminium Acetoacetate Compounds,</i> | § |                                |
| <i>the Production and Use Thereof as</i>      | § |                                |
| <i>Printing Ink Additives</i>                 | § |                                |

**TRANSMITTAL LETTER**

BOX PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

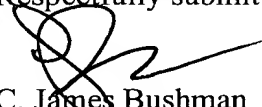
Responsive to the Notification of Missing Requirements (Form PCT/DO/EO/905) mailed on May 22, 2000, enclosed for filing in the captioned application are the following:

1. Combined Declaration and Power of Attorney;
2. Copy of Form PCT/DO/EO/905;
3. Required surcharge of \$130.00;
4. Certificate of Mailing by Express Mail No. EL362272381US;
5. Acknowledgment postcard; and
6. Duplicate copies of this transmittal for the Assistant Commissioner's use in charging any underpayment or crediting any overpayment in fees due to Deposit Account No. 02-4345.

06/23/2000 PVOLPE 00500091 09509400

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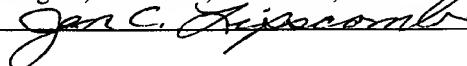
Respectfully submitted,

  
C. James Bushman  
Reg. No. 24,810

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**CERTIFICATE OF EXPRESS MAILING**

I, Jan C. Lipscomb, hereby certify that this correspondence and all referenced enclosures are being deposited by me with the United States Postal Service as Express Mail with Receipt No. EL362272381US in an envelope addressed to Box PCT, Assistant Commissioner for Patents, Washington, DC 20231, on June 16, 2000.

By: 



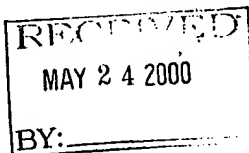
Patent and Trademark Office  
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Box PCT  
Washington, D.C. 20231

09/03/97 PCT/DO/EO/905 FINMANS FIRST NAMED APPLICANT ATTY. DOCKET NO.

ENTERED MAY 24 2000

5611

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HOUSTON TX 77057



Patent and Trademark Office

1A FILING DATE 09/15/98 PRIORITY PAGE 09/27/99

05/22/00

DATE MAILED:

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☒ a non-English language.  
☐ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☒ Translation of Annexes to the International Preliminary Examination Report into English. (Not Entered)

☒ Preliminary amendment(s) filed 27 MAR 2000 and

☐ Information Disclosure Statement(s) filed and

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☐ Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report and copies of the references cited therein.

☒ Other Express Mail Certificate

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

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National Stage Processing  
Paralegal Specialist  
(703) 305-3662